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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NO.

006420.00003

U.S. APPLICATION NO. (If known, See 37 CFR 1.5)

**10/525992**  
PRIORITY DATE CLAIMED  
28 August 2002INTERNATIONAL APPLICATION NO.  
PCT/IN2003/00289INTERNATIONAL FILING DATE  
27 August 2003

## TITLE OF INVENTION

HERBAL EXTRACT COMPRISING A MIXTURE OF SAPONINS OBTAINED FROM SAPINDUS TRIFOLIATUS FOR  
ANTICONVULSANT ACTIVITY

APPLICANT(S) FOR DO/EO/US

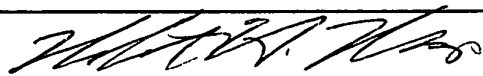
Arora, et al.

Applicant herewith submits to the United State Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). UNEXECUTED
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11-20 below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 C.F.R. 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - International Search Report
  - International Publication WO 2004/019960 A2 and A3
  - Written Opinions dated June 7, 2004 and July 23, 2004
  - Responses to Written Opinions filed on July 3, 2004 and October 19, 2004
  - International Preliminary Examination Report
  - Forms PCT/IB/304 and 308, and PCT/IPEA/402
  - Five cited references

U.S. APPLICATION NO. (If known, See 37 CFR 4.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NO.	
10/525992		PCT/IN2003/000289		006420.00003	
21. The following fees are submitted:					
<input checked="" type="checkbox"/>	a) Basic National Fee	\$300.00		\$300.00	
<input checked="" type="checkbox"/>	b) Examination Fee	\$200.00		\$200.00	
<input checked="" type="checkbox"/>	c) Search Fee	\$500.00		\$500.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>		<b>\$1,000.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 500 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
- 100	/50		X \$250		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	19 -20 =	0	x \$50.00		
Independent Claims	4 - 3 =	1	x \$200.00		
Multiple dependent claims (if applicable)			+ \$360.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,330.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated below above are reduced by 1/2.					
<b>SUBTOTAL =</b>				<b>\$1,330.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
<b>TOTAL NATIONAL FEE =</b>				<b>\$1,330.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$1,330.00</b>	
				Amount to be:	
				refunded	
				charged	
a. <input type="checkbox"/> A check in the amount of \$___ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 19-0733 in the amount of <u>\$1,330.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.					
<b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
CUSTOMER NO.: 22908			SIGNATURE 		
BANNER & WITCOFF, LTD.			ROBERT H. RESIS 32,168		
			NAME REG. NO.		
			February 28, 2005		
			DATE		

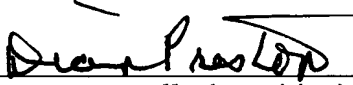
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail No. EV406110827US

Deposited February 28, 2005

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to the: Mail Stop PCT, RO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By:

  
(person actually depositing)

Applicant: Lupin, Ltd.  
Attorney Docket No.: 006420.00003  
Title: Herbal Extract Comprising a Mixture of Saponins Obtained from Sapindus Trifoliatus for Anticonvulsant Activity

1 sheet	PTO Form 1382
2 sheets	Transmittal Letter Concerning a Filing under 35USC371
5 sheets	Preliminary Amendment
5 sheets	Application Data Sheet
2 sheets	(Unexecuted) Declaration and Power of Attorney
1 sheet	Information Disclosure Statement
2 sheets	Form PTO/SB/8A, with 5 references
38 sheets	International Publication WO 2004/019960 A2 and A3
7 sheets	International Search Report
7 sheets	Written Opinions dated June 7, 2004 and July 23, 2004
7 sheets	Responses to Written Opinions filed on July 3, 2004 and October 19, 2004
6 sheets	International Preliminary Examination Report
3 sheets	Forms PCT/IB/304 and 308, and PCT/IPEA/402
1 sheet	Certificate of Express Mailing
1	Return Postcard